



Open Access for books OAPEN pilot projects

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OAPEN foundation

Open Access for books

Traditional Scholarly publishing

- Costs and flaws
- The benefits of Open Access

OAPEN

- OAPEN's approach to OA books

Making the transition

- OAPEN pilot projects



Scholarly Communication



Houghton: activity costs in NL



Source: Costs and benefits of research communication: The Dutch Situation, John Houghton (2009)

Traditional academic publishing

1. Largely dependant on public spending

Research paid for by research funders

Authoring & reviewing paid for by Universities

Subscriptions paid for by Libraries

Traditional academic publishing

2. Losing it's sustainability

Commercialisation of STM journal publishing and the growth of science:

- caused serials crisis (libraries)**
- lead to monograph crisis (book publishers)**

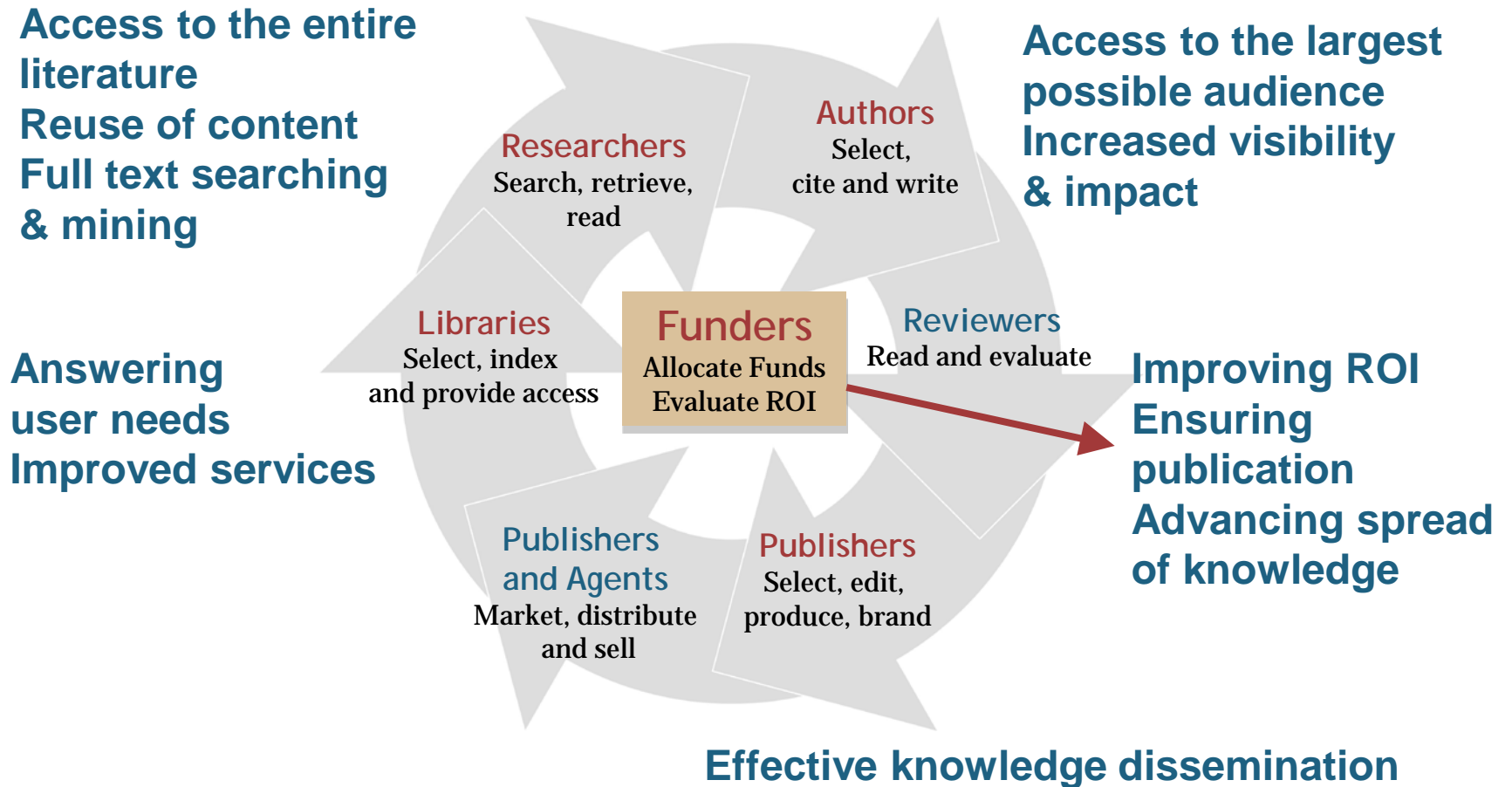
Traditional monographs

Losing relevance and sustainability

Between 1980 and 2000, sales to US Libraries dropped from 2000 to 500 (average)

Need for a new business model

Benefits of Open Access



Economic Benefits of OA: NL

Worldwide OA
130 million



Unilateral OA
37 million

Source: Costs and benefits of research communication: The Dutch Situation, John Houghton (2009)



- Started as EU co-funded project coordinated by Amsterdam University Press
- Developing an Open Access publication model for peer reviewed academic books in Humanities and Social Sciences
- Creating a large, freely available collection of current books in European languages in various fields of HSS
- Now an independent foundation, based at the KB in The Hague

Open Monographs: examples



OAPEN's approach to OA books

Hybrid model: Online *and* Print

- Basic online edition is free (OA edition)
- Other editions (print, PoD or e-pub) are sold

Publishers can charge publication fee for OA edition

Authors retain copyright

- Recommending CC licenses

Long term availability Online

- Based on repository infrastructure (UvA) and E-depot (KB)

Publishing OA Books: cooperation

Publishers

- Organize peer-review
- Offer services for OA publication based on publication fees
- Publish and sell other editions

Funders

- Provide publication funds
- Determine criteria for OA publications
 - Access and Quality standards
 - Reasonable publication fee

Making the transition: principles

Research and dissemination of results belong together

- Research funding should include dissemination costs

Funders' OA policies should be extended to include OA publishing (green *and* gold)

- Budgets for OA publishing should be open for articles *and* books

Publishers should develop OA Publishing as a service to the Scholarly community

Making the transition to OA books

OAPEN Library:

- Aggregating a collection of OA books
- Increase visibility and retrievability
- 2011: 30+ publishers, 1000+ OA books

OAPEN pilot projects:

- Conduct experiments
- Work with funders and publishers in various countries

Developing an infrastructure for OA books

- Deposit service for Open Access Books in HSS?
- Directory of Open Access Books?

OAPEN Library

Organize content

- Set standards (metadata, licensing)
- Provide quality assurance (peer review)

Create critical mass

- Promote OA for books
- Pull in publishers, aggregate content

Increase visibility & retrievability

- Web presence (Google, Europeana)
- Library integration (online catalogues)
- Discovery services (WorldCat, Primo Central, Summon)



Home

Search

About OAPEN

Peer Review

Metadata

Browse the library

- All
- Title
- Author

Top subjects

- Society and social sciences
- Humanities
- Economics, finance, business and management
- The arts
- Law
- Literature and literary studies
- Language
- Earth sciences, geograpy, environment, plannings
- History

Welcome to OAPEN

online library and publication platform

OAPEN (Open Access Publishing in European Networks) is a collaborative initiative to develop and implement a sustainable Open Access publication model for academic books in the Humanities and Social Sciences. The OAPEN Library aims to improve the visibility and usability of high quality academic research by aggregating peer reviewed Open Access publications from across Europe.

Search the library

[▶ advanced search options](#)

Featured titles



New Germans, New Dutch : Literary Interventions
Amsterdam University Press



Sex and Gender in Biomedicine
Universitätsverlag Göttingen



Partiti e gruppi parlamentari nell'ordinamento spagnolo
Firenze University Press



Changing anarchism: Anarchist theory and practice in a global age
Manchester University Press



Smag for etik (Vol. 4): På sporet efter fødevareetikken
Museum Tusulanum Press

Publishers in the OAPEN Library



Museum Tusulanums Forlag
Museum Tusulanum Press



Hamburg University Press



Universiteit Leiden



Aarhus University Press



AALBORG UNIVERSITETSFORLAG



Universitätsverlag Göttingen

MANCHESTER
1824

Manchester University Press



GEORG-AUGUST-UNIVERSITÄT
GÖTTINGEN



kassel university press GmbH

SCHOLARLY EDITING AND RESEARCH
Huygens Instituut
HISTORY OF LITERATURE, SCIENCE AND SCHOLARSHIP

OAPEN pilot projects

Proposal for pilots in OA book publishing:

- Set up limited publication funds for books (1-3 years)
- In various European countries
- Cooperation of research funders and publishers
- Test models, compare and evaluate results
- Come up with guidelines and recommendations

- First countries: NL (NWO) and UK (JISC collections)
- Exploring other areas: Germany and Nordic countries

Goal: Establish a framework for OA books

OAPEN-UK

Project management:

- JISC Collections

Funding:

- JISC Collections
- Arts and Humanities Research Council

Measuring effect of OA on usage and sales:

- 3 years (1 year + 2 years monitoring))
- Start May 1, 2011
- Open to all academic publishers
- Books published before January 2011
- Approx. 40 books + control group ('matched pairs')
- Contribution £ 6000/book

OAPEN-UK pilot: publishers



OAPEN-NL

Project management:

- OAPEN Foundation

Funding:

- Netherlands Organization for Scientific Research (NWO)
- Ministry of Education, Culture & Science

Measuring usage, sales and publishing costs:

- 3 years (2 year + 1 year additional monitoring))
- Start October 1, 2010
- Open to all academic publishers
- Books published between Jan 1, 2011 - Aug 1, 2012
- Approx. 50 books + control group
- Maximum contribution € 5000/book

OAPEN-NL pilot: publishers



BRILL

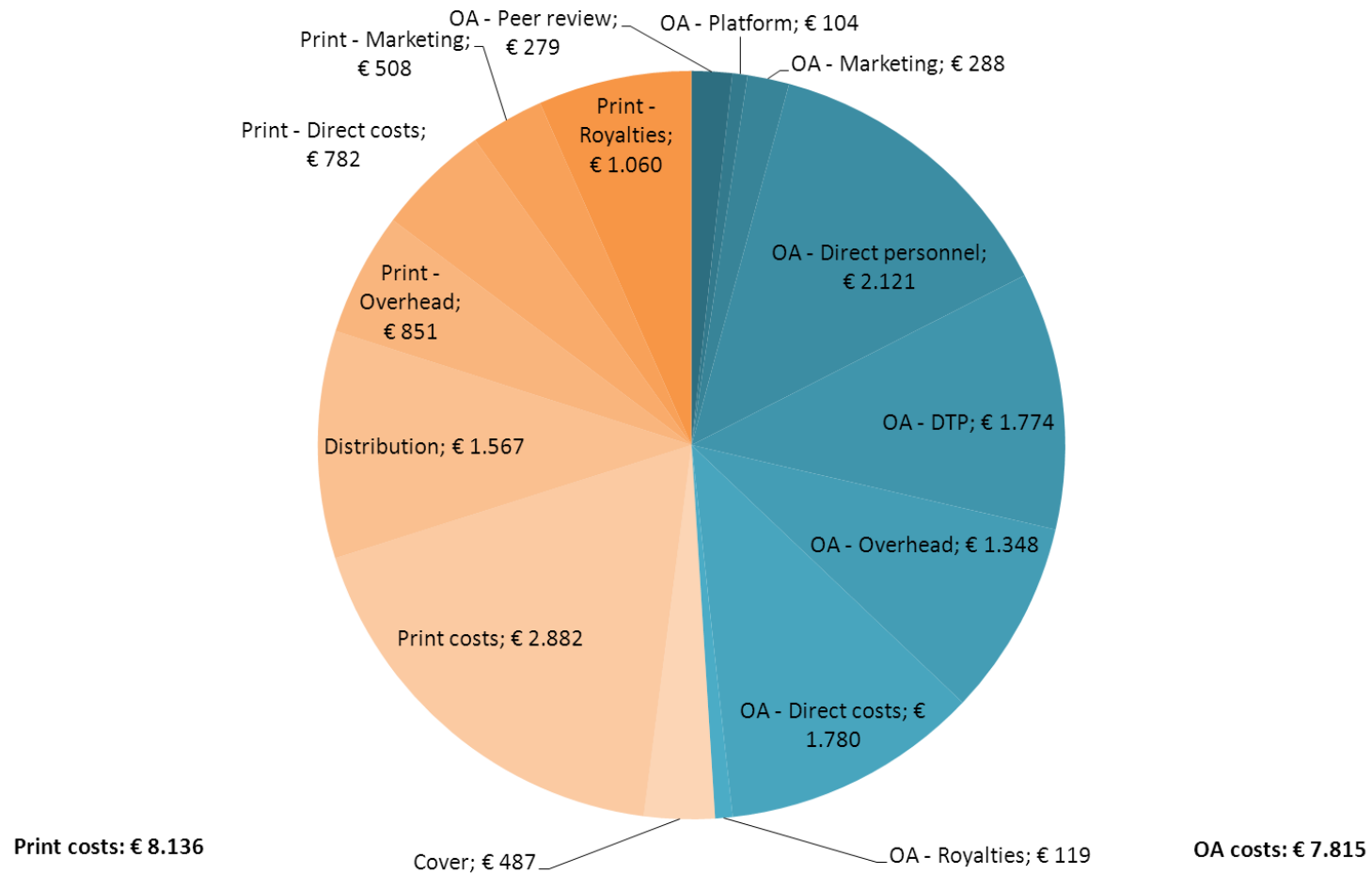


Current status pilot projects

	<i>publishers</i>	<i>books</i>	<i>expected</i>
OAPEN-UK	5	30	30
OAPEN-NL	8	10	55
Total	13	40	85

OAPEN-NL: costs of monographs

Average costs of monographs in the OAPEN-NL pilot



OAPEN-NL: costs range

Total costs

- **Highest: € 23.133**
- **Lowest: € 8.937**

Print costs

- **Highest: € 11.170**
- **Lowest: € 3.163**

OA costs

- **Highest: € 11.835**
- **Lowest: € 3.276**

Percentages OA on average

- **OA: 49%**
- **Print: 51%**

Percentage OA range

- **Highest: 71,9%**
- **Lowest: 36,05%**

New pilot projects

Exploring new projects

Germany and Nordic countries

Funding:

Consider this:

In continental Europe, there are numerous funding programmes to support monograph publishing

What if these programmes would promote, or welcome Open Access models for books?

Proposed focus:

Investigate how existing funds could be used to make the transition to Open Access book publishing

Create a combined fund from various sources to develop a common approach



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Knowledge Exchange briefing paper

OPEN ACCESS BUSINESS MODELS FOR RESEARCH FUNDERS AND UNIVERSITIES

This study ... indicates that every form of open access to publicly-funded research and teaching outputs requires public funding.

Private funding may provide partial support for some open access models (e.g. if an author pays for the cost of publishing in an open access journal) but no open access model can survive on private funding alone.

In this respect open access business models are no different from the current subscription or licensing business models for academic journals, as the publishers of the journals are also dependent upon the purchase of subscriptions or licences by publicly-funded libraries.

KE briefing paper: monographs

It has become clear that the old structures and methods for the publishing of research monographs cannot be sustained, even with substantial public funding.

The choice facing the academic community world-wide is between allowing the research monograph to disappear, possibly being replaced by a system of chapter-by-chapter dissemination through a repository, or using new technologies to move to a new form of the research monograph, not restricted in size but designed primarily to be an electronic resource available on open access.

One advantage to treating the electronic version as the primary version is that it enables the high cost of print to be taken out of the basic financial calculation, by dealing with print-on-demand as a separate account, without the cost of unsold print copies distorting the main budget.