Forskningsrådet

Theory and practice in OA policies

How to implement Open Access: a funder perspecitve

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The «next stage» for a funding agency

- OA no longer *really* controversial
- Some concerns still exists
  - Quality of Open Access journals
  - Financing OA-publishing
- As a funder we are now entering the next stage
- Developing and implementing our OA policies
  - Follow up green OA
  - Financing gold OA

RCN's Open Access policy - present

- 2009 policy – «Green» Open Access for articles
- Compliance - do we know if articles are archived?
- Current Research Information System in Norway (CRIStin)
- "Green" status by November 2013 (data from CRIStin)
  - 440 projects financed from the RCN
  - 1887 articles registered as results from these projects last year
  - 5 % in repositories
- Increase compliance by targeting biggest funding schemes
- Green Open Access success milestone
  - Good documentation on institutional level that archiving results in increased use of articles and higher overall impact

RCN's Open Access policy - future

- «Next stage» – govt White paper 2013 («Lange linjer»)
- - RPOs and RCN should foot the bill for Open Access publication costs (APCs)
- Internal survey of 65 RCN financing schemes shows most consider APC as an eligible cost … but some don't
  - We need to be explicit and understand the implications
- How to create good mechanisms for financing APCs?
  - Needs to be non-bureaucratic
  - Institutions (project owners) pay the APCs, not authors
  - Include some control of funds going to APCs
  - Minimize hybrid and «doble dipping»
- Coordinate efforts with institutions (publication funds)

Funding the national SSH-journals

- Have funded national SSH journals for decades
- 40 SSH-journals currently supported
- Only 4 of them are Open Access
- Future goal: All journals to be gold OA-journals with *immediate publication*
- Introducing APC in SSH
- Future funding: A joint venture with RPOs?

OA-joint venture for national SSH-journals

- Consortium with 50 % capital from RCN and 50 % from research institutions.
- APC paid 50/50 by RCN and authors institution to the journals.
- Payment calculated on a yearly basis in order to diminish administrative costs.
- A coordinated model for a long term solution
- Negotiate levels of APC and the numbers of articles paid for every year.

Challenges from a funder's perspective

- Realizing the «next step» by using our financing toolbox
- Different publication cultures in different disciplines
- Transition period, avoid "double dip"
- The big picture in little Norway
  - 200 mill NOK (25 mill €) budget for subscription journals
  - 15000 articles at 15K NOK = 225 Mill (28 mill €)
- How to control the APC price levels
  - Mechanisms for coordinated purchase e.g. need for consortia to set standards and negotiate APC levels, not just handle transactions
  - Working with institutional publication funds

Thank you!

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