

# Two years with cOAlition S

## Recent experiences and future challenges for Open Access to publications

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## Why Plan S and cOAlition S

- Background: European Competitiveness Council conclusions in 2016: Full OA to publications within the EU by 2020
- Plan S launched in September 2018 –full and immediate OA!
- Science is international, and we must join forces to improve and change the status quo
- *... A coordinated international effort is necessary when dealing with powerful vested interests*

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**Making full  
and immediate  
Open Access  
a reality**



## Plan S Principles and Implementation

- Immediate OA, no embargo periods
- Full reuse rights, CC-BY license (or similar) by default
- Researchers retain the right to make publications OA – *no copyright transfer*
- Funders cover publishing costs – no charge to the individual authors
- Funders do **\*NOT\*** financially support publication fees in ‘hybrid’ subscription journals
- Funders commit to assess research outputs based on their intrinsic merit and NOT their venue of publication, following the San Francisco Declaration on Research Assessment (DORA).

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# Three routes to OA compliance

- **Open Access journals or platforms**
  - Diamond or Gold. cOAlition S funders financially support APCs if any
- **Open Access repositories**
  - Publish in a subscription journal and make a peer reviewed version AAM immediately available in a repository with an open licence
- **Transformative arrangements**
  - Authors publish in a journal with a Transformative Arrangement (e.g. Read & Publish deals)
  - cOAlition S funders \*CAN\* financially support Open Access under Transformative Arrangements





## Since the launch of Plan S in 2018:

- Global discussion on Open Access and international consultation on the Plan S implementation
- Publishers agreeing to move journals to OA within the Plan S framework (e.g. Springer Nature)
- Publishers are committing to price transparency
- Plan S is giving momentum to library consortia – many new OA agreements across the world
- Rights retention strategy ensures that researchers can publish as wide a variety of journals as possible and make manuscripts OA in repositories in line with Plan S

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# Nature to join open-access Plan S, publisher says

Springer Nature says it commits to offering researchers a route to publishing open access in *Nature* and most Nature-branded journals from 2021.

Richard Van Noorden



## RELATED ARTICLES

**Ambitious open-access Plan S delayed to let research community adapt**



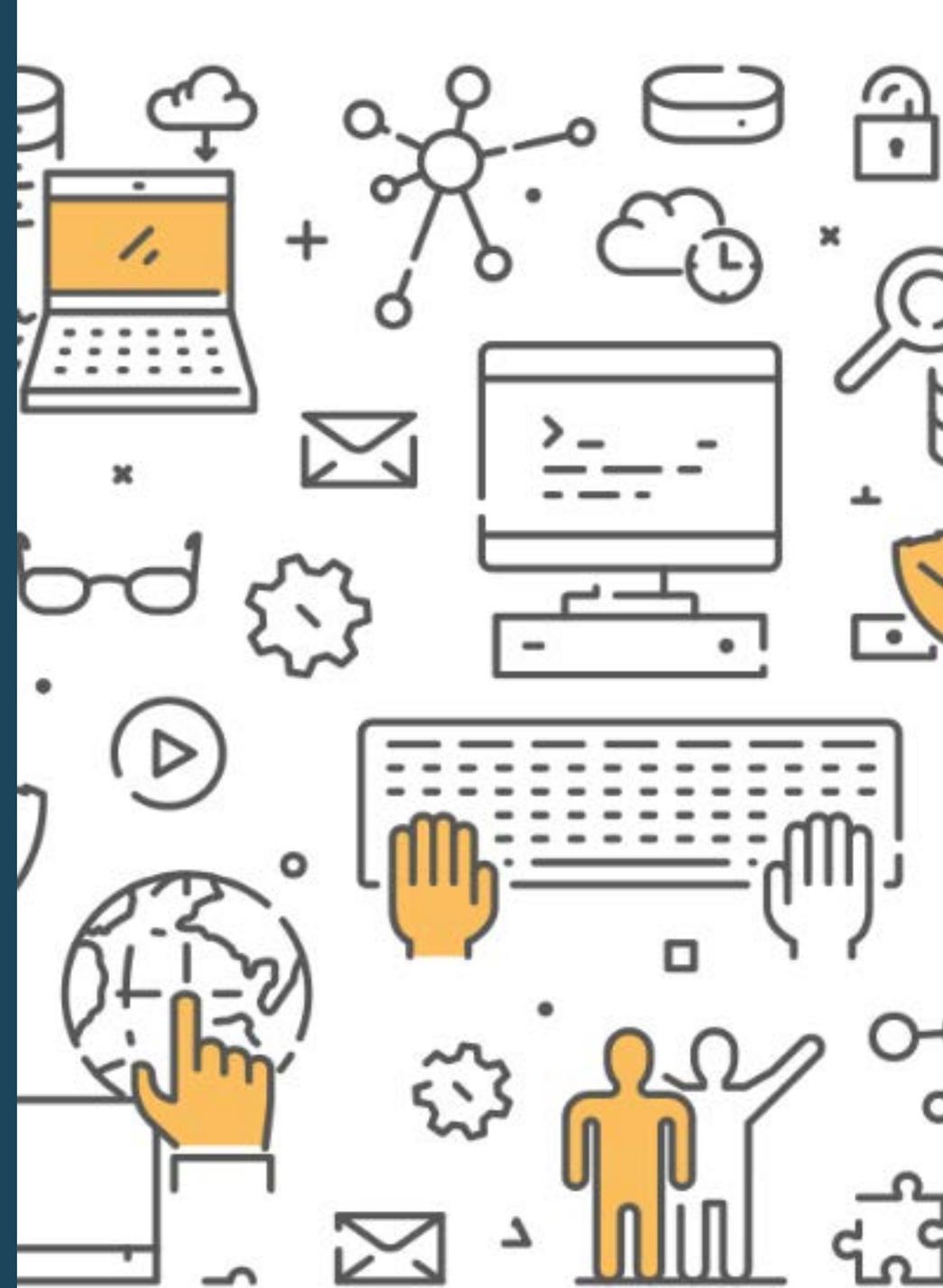
**Do authors comply when funders enforce open access to research?**





## Since the launch of Plan S in 2018 – Norway as an example

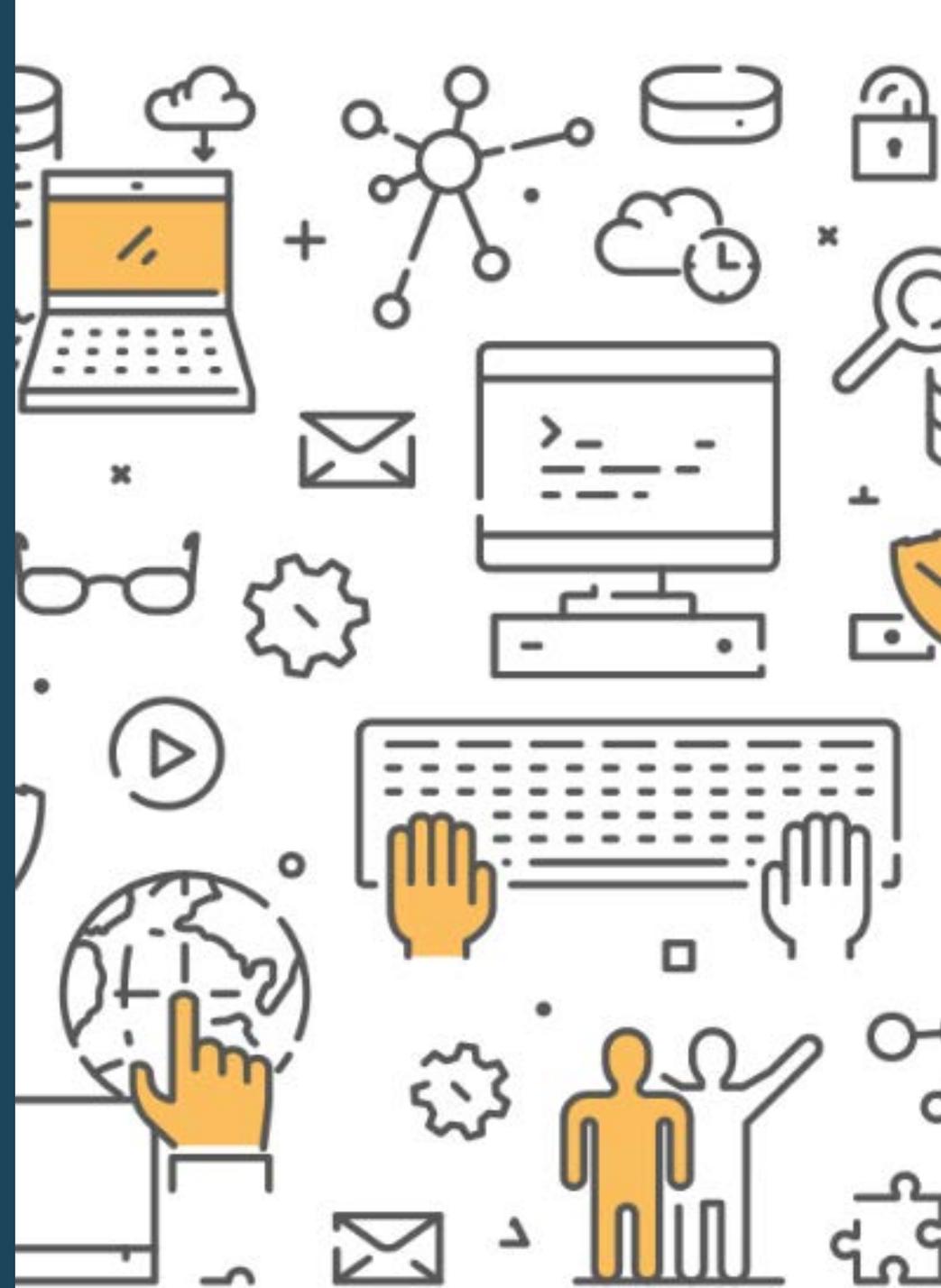
- Discussions on OA in sector and national media
  - License requirements and research results as global commons
  - Academic freedom = freedom to publish where you want?
  - Scholarly fields with low OA coverage
  - Challenges for international collaboration
  - Challenges for early career scholars
  - OA and the quality of journals
  - DORA principles versus the utility of journal hierarchies





## Since the launch of Plan S in 2018 – Norway as an example II

- The situation in 2020: Norway is well prepared
  - Approx. 70 % of articles are now published in OA venues (open archiving not included)
  - 73 % of level 2 articles from the last 5 years published in venues that are now OA
  - Transformative agreements with all major publishers
  - Institutional repositories already established - joint national repository infrastructure under development





## cOAlition S Rights Retention Strategy

- To ensure cOAlition S funded researchers can seek publication in as wide a variety of journals as possible
- cOAlition S Organisations will change their grant conditions to require that a CC BY is applied to all Author Accepted Manuscripts (AAM) or Versions of Record (VoR) based on their funding.
- cOAlition S will give notice to publishers about these new grant conditions – this *prior notice* takes precedence over any future agreement between authors and publishers. I.e. authors or their institutions retains the right to make an article OA.
- This strategy aligns with the **repository route of Plan S**

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## cOAlition S projects

- «Journal Checker Tool» - to enable simple identification of routes to compliance
- Large-scale survey of Diamond OA models
- Monitoring the impact of Plan S, especially for Early Career Scholars
- Models for the OA transition for Learned Society publishers
- Price transparency framework
- Etc.

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BETA

# Is this compliant with Plan S ?

JOURNAL

By ISSN or title



MY FUNDER

By funder name



MY INSTITUTION

By ROR or name



No affiliation

*cOAlition S Office  
European Science Foundation  
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