

Facilitating OA
Agreements (SPA
OPS 3.0) project
commissioned by:

informationpower



The Association of
Learned & Professional
Society Publishers



Plan S

Making full & immediate
Open Access a reality



Context

We found that in certain countries, most notably the UK and Ireland, smaller independent and society publishers have successfully entered into open access agreements.

However, this success is not mirrored elsewhere in the world.



Challenges

- OA agreements are difficult to scale up, both for library consortia and publishers
- Data is a pain point for both libraries and publishers when implementing OA agreements.
- Meeting work flow requirements are arduous for small publishers.
- Every organisation has different license requirements, and small publishers are not experienced in handling them.



Purpose of the Project

1. Coordinate four task and finish groups who will develop:

- Principles
- A Model License
- A Data Template
- Workflows

to underpin OA agreements between libraries/consortia and smaller independent publishers

2. Convene two events with intermediaries who will need to take action in order to support the implementation of the outputs.

Further context information in the phase 2 report:

<https://doi.org/10.6084/m9.figshare.14731308.v1>



Progress so far

- Invitations for **expressions of interest** went out in August – 59 responses received.
- All 4 **groups established** under the following chairs:
 - Principles – **Rod Cookson**, IWA Publishing
 - Model License – **Celeste Feather**, LYRASIS
 - Data – **Claire Moulton**, The Company of Biologists
 - Workflows – **Arjan Schalkan**, UKBsis
- Terms of reference, Google folder and documents for each group,
- Engagement with NISO to align on workflows.
- Second draft of principles document to be discussed on Wednesday 6 October 2021.

Steering Group Membership

Providing oversight for
the project.

- Damian Broadhurst (*Salford University*)
- Curtis Bundy (*Iowa State University*)
- Colleen Campbell (*OA2020*)
- Andrew Joseph (*Wits University*)
- Rima Kupryte, (*EIFL*)
- Robert Kiley (*cOAlition S*)
- Malavika Legge (*Portland Press
and Biochemical Society*)
- Nora Papp-Le Roy (*European Science Foundation*)
- Katharina Rieck (*FWF*)
- Johan Rooryck (*cOAlition S*)
- Judy Russell (*University of Florida*)
- Glenn Truran (*SANLC*)
- Aria Tuuliniemi (*University of Helsinki*)
- Anna Vernon (*JISC*)
- Wayne Sime (*ALPSP*)

Task & Finish Group 1 – Shared Principles

Reviewing and revising the shared principles that underpin OA agreements between consortia/libraries and smaller publishers.

The group uses the draft principles created by Plan S as a base, and work to improve it.

- Chair – Rod Cookson
- Andrea Lopez
- Ellen Finnie
- Catherine Sharp
- Anna Vernon
- Anke de Looper
- Sharla Lair
- Wendy Newsham
- Erin Calhoun
- Rita Penhasi
- Bongsi Mphuti
- Frances Pinter
- Robert Kiley
- Colleen Campbell

Themes in Draft Principles

- **Transparency**
 - Ensuring publishers, consortia and libraries all understand the OA process
- **Pragmatism**
 - Agreements must be aware of material realities to ensure the largest number of organisations can benefit from OA
- **Equity**
 - Ensuring organisations in developing nations are not disadvantaged
- **Price and Cost Allocation**
 - Costs must be divided in a way that fairly affects all involved organisations

Task & Finish Group 2 – Model Licence

Will use the SPA OPS
model licence as a base
and attempt to align it
with the shared principles
from group 1.

This licence will be
delivered by 31st January
2022.

- Chair – Celeste Feather
- Angus Cook
- Adam Der
- Jill Emery
- Sarah Fricker
- Mark Lewis
- Lisa Mackinder
- Ben Taplin
- Lucy Walton

Task & Finish Group 3 – Data Template

Will devise a data template that small, independent publishers can present at the start of OA agreement negotiations.

They will also devise a glossary of key terms that should be known.

- Chair – Claire Moulton
- Nels Jensen
- Debbie Martindale
- Sana Mulla
- Gaynor Redvers
- Judy Russell
- Eric Schares
- Nevena Tomic
- Timo Vilén

Task & Finish Group 4 – Workflow Group

Will agree upon a set of minimum workflow requirements which are achievable by smaller independent publishers.

These will be based off the current ESAC workflows.

- Chair – Arjan Schalken
- Surina Bhatti
- Stacey Burke
- Yvonne Campfens
- Rebecca Day Tucker
- Phillip Hess
- Mark Lewis
- Sana Mulla
- Wendy Newsham
- Elin Reeves
- Bernhard Schubert
- Adriana Sikora
- Andy Utterback
- Matthew Wilmont



Deadline

We aim to have the outputs of this project available at the end of March, and will launch them at the UKSG Conference in Glasgow