



Helping to Ensure Quality in  
Scholarly Communication:

Understanding the **Self-  
Assessment Tool** for DOAS

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<https://diamas.fecyt.es/>

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What is the Self-assessment tool for Diamond OA?

# DOAS Deployment

<https://zenodo.org/records/12179619>

- Online service that allows publishers to evaluate their compliance with the standard.
- Help publishers identify *strengths* and areas for improvement in their editorial processes.
- 7 core components:
  - 1) Funding
  - 2) Legal ownership, mission, and governance
  - 3) Open Science
  - 4) Editorial management, editorial quality, and research integrity
  - 5) Technical service efficiency
  - 6) Visibility, communication, marketing, and impact
  - 7) Equity, diversity, inclusion, and belonging (EDIB), multilingualism, and gender equity



## **1. User-friendly Interface**

Designed with an intuitive, easy-to-use interface that guides users step-by-step through the assessment. No technical expertise is required.

## **2. Four-step Process**

Involves answering questions related to the seven components. Users can easily navigate through the sections and complete the questionnaire at their own pace.

## **3. Responsive and Accessible**

Accessible on different devices (desktop, tablet, mobile), ensuring that editors can access the platform from anywhere.

## **4. Progress Tracking**

Users can track their progress and save their responses, allowing them to pause and return to the assessment at any time.

## **5. Comprehensive Results**

After completing the self-assessment, users receive a detailed report with insights into areas of strength and opportunities for improvement.

## HOW DO YOU PERFORM YOUR SELF-ASSESSMENT?

### REGISTER 1

To take the self-assessment test you must be registered on the platform. To register, press the top "ENTER" button and then click on "REGISTER NOW" to create your account.



### 2 LOGIN

To enter, click on the top button "ENTER" and enter your username and password, if you are not registered, click on "REGISTER NOW" and create your account.



### CHOOSE MODULE 3

The platform has two modules to complete the self-assessment test, DOAS and Sustainability. You can complete one or both tests.



### AUTOEVALUATE

When you select one of the modules, a quiz will appear. Answer the questions until you complete it. When you're done, click the "FINISH" button to finish the test and send it to your email.



### 4

### RECEIVE THE REPORT

When you finish the test, you will receive a full report with the results in your email. Remember, you can take it again or complete the next test, for this, you must go back to step 1.

### 5



## How does the Self-assessment tool work?

A simple 4-step process:

1. **Register** on the online platform:  
<https://diamas.fecyt.es/>

2. Complete a **structured questionnaire** based on the 7 DOAS components

3. Receive a **detailed report** with scores and recommendations

4. Use **additional resources** to improve compliance with the DOAS



# Register the Self-assessment tool

[https://www.youtube.com/watch?v=\\_RUwV\\_bcFAI](https://www.youtube.com/watch?v=_RUwV_bcFAI)

## REGISTRATION

Fill out the following form to register for the self-assessment tool. For any issues or questions, please contact us: [diamas@fecyt.es](mailto:diamas@fecyt.es)

### DATA PROTECTION INFORMATION

Basic Information	
Data Processing Controller	Spanish Foundation for Science and Technology, F.S.P. (FECYT), Calle Pintor Murillo 15, 28100 Alcobendas (Madrid, ES).
Purpose of Data Processing	Address citizens' requests to exercise the rights established by the General Data Protection Regulation (GDPR).
Legitimacy	GDPR: 6.1. a) The data subject gave consent to the processing of their data for one or more specific purposes.
Recipients	Data will not be transferred to third parties unless there is a legal obligation to do so.
Rights	Rights of access, rectification, deletion, limitation of processing, data portability, opposition, and not to be subject to individual automated decisions, including profiling.
Origin	The data subject themselves.
Additional Information	You can consult additional and detailed information by clicking on this link <a href="https://pdf.fecyt.es/protecciondatos/073-01en.pdf">https://pdf.fecyt.es/protecciondatos/073-01en.pdf</a>

Name \*:

Last names \*:

Name of the Institutional Publisher \*:

Acronym of the Institutional Publisher \*:

Publisher URL \*:

Country \*:

Institution of the Publisher

Email \*:

Password \*:

Repeat password \*:

[Return to login](#)

I have read and accept the processing of my personal data as set forth in the Personal Data Protection Information section. \*

No soy un robot 



### DOAS

The [Diamond OA Standard \(DOAS\)](#) is a key output of the [DIAMAS project](#). It establishes quality benchmarks for Diamond OA publishers in scholarly journal publishing, particularly focusing on models without author or reader fees.

DOAS serves as a reference point for IPs striving for quality, offering essential and desirable criteria for compliance.

This self-assessment tool enables IPs to privately evaluate their adherence to the DOAS standard, identifying strengths and weaknesses.



### DIAMOND OA SUSTAINABILITY CHECK

This Financial Diamond OA Sustainability Check is an output of the DIAMAS project.

If you are an Diamond OA publisher or service provider, conducting such a self-assessment can help you gain insights into your financial health to help you plan for a more sustainable future.

Answering this tool's questions generates a radar chart that displays costs, resources, income streams, whether you are involved in shared services, which mechanisms allow you to grow financially and how you control, monitor and manage finances and plan for the future.

You can take this assessment at any time, and previous sessions are logged and accessible to you in a password-protected environment.

## The Core Components in the DOAS Self-Assessment Tool




- 1. Funding:** Diamond OA model, long-term sustainability, funding transparency, and editorial independence.
- 2. Governance:** Clear ownership, transparency in changes, mission alignment with academia, and transparent governance.
- 3. Open Science:** Open Science policy, author rights retention, transparency on intellectual property, and sharing of research.
- 4. Edition:** Transparent editorial processes, clear peer-review policies, research integrity, and incentives for reviewers.
- 5. Technical:** Secure platforms, interoperability standards, persistent identifiers, and long-term article preservation.
- 6. Visibility:** Strategies for visibility, effective communication with academia, and metrics for assessing impact.
- 7. EDIB:** EDIB policies, accessibility for all, and diversity in editorial and publication decisions.

# DOAS Self-assessment tool questionnaire

**FUNDING QUESTIONS** 4 of 8

ITEM	RESPONSE	STATUS	
1 - <b>No paywalls.</b> The publisher publishes issues its journals without charging fees to authors for publishing or to readers for reading. (REQUIRED)	<input checked="" type="checkbox"/> YES	Answered	
2 - <b>Transparency on paywalls.</b> The publisher provides explicit information on its website that no fees are charged to either authors to publish or readers to read, as well as if there are any other types of fees involved. (REQUIRED)	<input checked="" type="checkbox"/> NO	Answered	<input type="radio"/> Not Applicable <input type="radio"/> Disagree <input type="radio"/> Partly Agree <input type="radio"/> Somewhat Agree <input type="radio"/> Mostly Agree
3 - <b>Financial support.</b> The publisher is directly or indirectly funded by public funds or other revenue streams to enable free access to the author and reader, ideally covering all costs. (REQUIRED)	<input checked="" type="checkbox"/> YES	Answered	
4 - <b>Costs.</b> Costs are identified year-on-year. Publishers are able to plan their annual costs and to balance them with expected incomes and in-kind contributions using a tracking system, such as a budget. (DESIRED)	<input checked="" type="checkbox"/> NO	Answered	<input type="radio"/> Not Applicable <input type="radio"/> Disagree <input type="radio"/> Partly Agree <input type="radio"/> Somewhat Agree <input checked="" type="radio"/> Mostly Agree
5 - <b>Sustainability plan.</b> The publisher considers the medium-term economic viability of its Diamond OA model. It has a clear overview of available funding sources and other relevant external and internal (in-kind) resources, aligned with set expectations of future maintenance and developmental costs. In achieving its goals, a publisher preferably deploys collaborative strategies and uses common open infrastructures, to cut costs and raise efficiency. (DESIRED)	<input type="checkbox"/>	Pending	

PREVIOUS CATEGORY
GENERATE REPORT


- **100 ITEMS**
  - **53 REQUIRED** items ensuring fundamental practices.
  - **47 DESIRED** items offering additional recommendations.
- Essential compliance and aspirational goals for Diamond OA scholarly publishers.

## DOAS Self-assessment tool Results

The Self-assessment tool downloads a full report to the computer upon request and allows access to it in more detail

Scores per component (compliance with DOAS)

The report is private and accessible only by the publisher

### DOAS SELF-ASSESSMENT REPORT

This document acknowledges that FECYT has completed a self-assessment to check to what extent its publishing services are in line with the Diamond OA Standard (DOAS). Your answers suggest that FECYT is already aligned with 72% of the required standards and desired advanced recommendations outlined in DOAS.

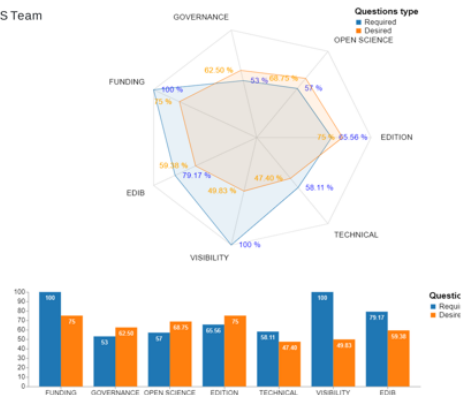
We thank you for your dedication to aligning with the best practices and standards formulated by DIAMAS. We hope that this self-assessment report will provide insights into the standards and practices of your publishing services and identify current good practices and potential improvements. We invite you to revisit the self-assessment tool at any time when you or your colleagues wish to reassess your publishing services.

If you have any questions or feedback, please email us at [diamas@fecyt.es](mailto:diamas@fecyt.es)

Disclaimer: This self-assessment report is not meant as an evaluation tool to rank publishing services. The tool therefore should not be taken to represent any level of compliance with DOAS, and it should not be taken to imply a ranking, or an implicit or explicit comparison with other publishing services. It is exclusively based on self-assessed information, it is exclusively available to you and based on the information that you have provided, and not based on any independently verified evidence.

23 September 2024

The DIAMAS Team



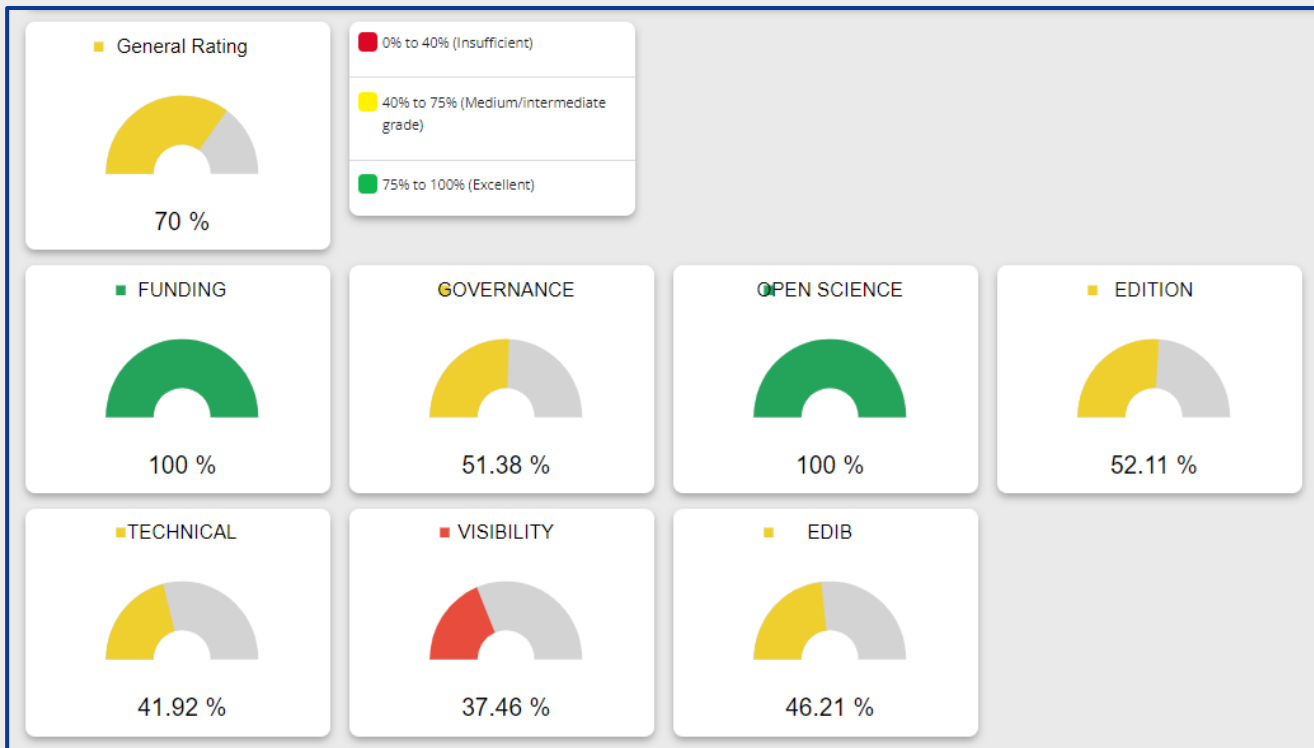


## Detailed Results


The detailed results are visualised in the tool, represented by diagrams of colors

- Green indicates full compliance
- Yellow shows partial compliance, meaning there's room for improvement
- Red highlights areas where the journal needs significant changes

These visuals helps quickly identify which strong areas and which require more attention





The Self-assessment tool keeps previous records for further consultation.

USER 

## DOAS SELF-ASSESSMENT

INSTITUTION NAME	EDITION	STATUS	COMPLETED	ACTIONS
FECYT	DOAS Self-assessment	In progress	0%	<a href="#">→</a>



### PREVIOUS SELF-ASSESSMENTS

23-09-2024  

Score

**72 %**


[ACCESS THE QUESTIONNAIRE →](#)

06-09-2024  

Score

**70 %**

[ACCESS THE QUESTIONNAIRE →](#)





## Conclusion and Next Steps

The DOAS self-assessment tool is the first step toward improving the quality and compliance with DOAS.

Review the assessment results and apply the recommendations.

It will be part of the European Diamond Capacity Hub to be launched in January 2025 in Madrid.

# THANK YOU

**LinkedIn:** <https://www.linkedin.com/company/diamas-project/>

**X:** @DiamasProject

**Web:** @diamasproject

**[HTTPS://DIAMASPROJECT.EU](https://diamasproject.eu)**

**[https://www.youtube.com/watch?v=\\_RUwV\\_bcFAI](https://www.youtube.com/watch?v=_RUwV_bcFAI)**

A large, stylized yellow geometric pattern, resembling a complex lattice or a series of overlapping triangles and hexagons, is positioned on the left side of the slide against a solid blue background.

# **ANNEX: DOAS Self-assessment Tool Screen Shots**

<https://diamas.fecyt.es/>









## FUNDING QUESTIONS

4 of 8

ITEM	RESPONSE	STATUS	
<p><b>1 - No paywalls.</b> The publisher publishes issues its journals without charging fees to authors for publishing or to readers for reading. (REQUIRED)</p>	<input checked="" type="checkbox"/> YES	Answered	
<p><b>2 - Transparency on paywalls.</b> The publisher provides explicit information on its website that no fees are charged to either authors to publish or readers to read, as well as if there are any other types of fees involved. (REQUIRED)</p>	<input checked="" type="checkbox"/> NO	Answered	<input checked="" type="radio"/> Not Applicable <input type="radio"/> Disagree <input type="radio"/> Partly Agree <input type="radio"/> Somewhat Agree <input type="radio"/> Mostly Agree
<p><b>3 - Financial support.</b> The publisher is directly or indirectly funded by public funds or other revenue streams to enable free access to the author and reader, ideally covering all costs. (REQUIRED)</p>	<input checked="" type="checkbox"/> YES	Answered	
<p><b>4 - Costs.</b> Costs are identified year-on-year. Publishers are able to plan their annual costs and to balance them with expected incomes and in-kind contributions using a tracking system, such as a budget. (DESIRED)</p>	<input checked="" type="checkbox"/> NO	Answered	<input type="radio"/> Not Applicable <input type="radio"/> Disagree <input type="radio"/> Partly Agree <input type="radio"/> Somewhat Agree <input checked="" type="radio"/> Mostly Agree
<p><b>5 - Sustainability plan.</b> The publisher considers the medium-term economic viability of its Diamond OA model. It has a clear overview of available funding sources and other relevant external and internal (in-kind) resources, aligned with set expectations of future maintenance and developmental costs. In achieving its goals, a publisher preferably deploys collaborative strategies and uses common open infrastructures, to cut costs and raise efficiency. (DESIRED)</p>	<input type="checkbox"/>	Pending	

## LEGAL OWNERSHIP, MISSION AND GOVERNANCE QUESTIONS

3 of 12

ITEM	RESPONSE	STATUS	
<p><b>1 - Scholarly community.</b> The publisher of the journal must be owned by a public or not-for-profit organisation (or parts thereof) whose mission includes performing or promoting research and scholarship. (REQUIRED)</p>			
<p><b>2 - Ownership statement.</b> The publisher has a defined statement about the ownership of the individual journals it publishes. It includes the legal parameters governing the relationship between the publisher and its published journals, the determination of ownership for each title, and the explicit definition of the rights/duties afforded to editors within the publisher in a precise and unambiguous articulation. This also includes details about the discontinuation of the individual journal, and the transfer and preservation of its assets. (REQUIRED)</p>			
<p><b>3 - Changes in ownership.</b> Changes in the ownership, relationships and rights/duties must be handled with care and transparently by publishers. A change in the service provider (for example, publishing infrastructure) can be achieved without changing the journal title, owner, or publisher. (REQUIRED)</p>			<ul style="list-style-type: none"> <li><input type="radio"/> Not Applicable</li> <li><input type="radio"/> Disagree</li> <li><input type="radio"/> Partly Agree</li> <li><input type="radio"/> Somewhat Agree</li> <li><input checked="" type="radio"/> Mostly Agree</li> </ul>
<p><b>4 - Transparency in ownership.</b> The publisher offers information about its ownership structure on its website. (REQUIRED)</p>			

## LEGAL OWNERSHIP, MISSION AND GOVERNANCE QUESTIONS

3 of 12

ITEM	RESPONSE	STATUS	
<p><b>1 - Scholarly community.</b> The publisher of the journal must be owned by a public or not-for-profit organisation (or parts thereof) whose mission includes performing or promoting research and scholarship. (REQUIRED)</p>	<p>YES</p>	<p>Answered</p>	
<p><b>2 - Ownership statement.</b> The publisher has a defined statement about the ownership of the individual journals it publishes. It includes the legal parameters governing the relationship between the publisher and its published journals, the determination of ownership for each title, and the explicit definition of the rights/duties afforded to editors within the publisher in a precise and unambiguous articulation. This also includes details about the discontinuation of the individual journal, and the transfer and preservation of its assets. (REQUIRED)</p>	<p>YES</p>	<p>Answered</p>	
<p><b>3 - Changes in ownership.</b> Changes in the ownership, relationships and rights/duties must be handled with care and transparently by publishers. A change in the service provider (for example, publishing infrastructure) can be achieved without changing the journal title, owner, or publisher. (REQUIRED)</p>	<p>NO</p>	<p>Answered</p>	<p> <input type="radio"/> Not Applicable  <input type="radio"/> Disagree  <input type="radio"/> Partly Agree  <input type="radio"/> Somewhat Agree  <input checked="" type="radio"/> Mostly Agree         </p>
<p><b>4 - Transparency in ownership.</b> The publisher offers information about its ownership structure on its website. (REQUIRED)</p>	<p></p>	<p>Pending</p>	



## OPEN SCIENCE PRACTICES QUESTIONS 4 of 15






ITEM	RESPONSE	STATUS	
<p><b>1 - Open Science policy.</b> The publisher has an OS policy that shows it is aware of the value of the OS and understands what it entails. (DESIRED)</p>	<input checked="" type="checkbox"/> YES	<span>Answered</span>	
<p><b>2 - Open Access.</b> The publisher issues its journals in OA. (REQUIRED)</p>	<input type="checkbox"/> NO	<span>Answered</span>	<input type="radio"/> Not Applicable <input type="radio"/> Disagree <input checked="" type="radio"/> Partly Agree <input type="radio"/> Somewhat Agree <input type="radio"/> Mostly Agree
<p><b>3 - Facilitating compliance with Open Access mandates.</b> The publisher enables compliance of their authors with the open access mandates of their funding agencies, as well as the institutional, and/or national OA policies regarding journal articles. (REQUIRED)</p>	<input checked="" type="checkbox"/> YES	<span>Answered</span>	
<p><b>4 - Underlying research data.</b> Recognising the essential role of the availability of the article's underlying data in supporting conclusions and reproducibility, the publisher implements an output-level policy for this data in all its journals. This policy can be different for different journals. This information is displayed on the publisher/journal website. (REQUIRED)</p>	<input type="checkbox"/> NO	<span>Answered</span>	<input type="radio"/> Not Applicable <input type="radio"/> Disagree <input type="radio"/> Partly Agree <input type="radio"/> Somewhat Agree <input checked="" type="radio"/> Mostly Agree
<p><b>5 - Data policy content.</b> The publisher's policy encourages the submission of underlying data for publications to be available to editors and reviewers during the manuscript review process. Additionally, it stipulates that this data will be accessible to all individuals by the time of publication in a FAIR manner through repositories, providing persistent identifiers (PIDs) and their connection from the publication to the data and from the data to the publication, and publicly available metadata. (DESIRED)</p>	<input type="checkbox"/>	<span>Pending</span>	

## EDITORIAL MANAGEMENT, EDITORIAL QUALITY AND RESEARCH INTEGRITY QUESTIONS 2 of 24

ITEM	RESPONSE	STATUS	
<p><b>1 - Editorial independence.</b> Editors-in-chief and/or Editorial Board have full responsibility over the entire editorial content of each journal published issued by the publisher. (REQUIRED)</p>	<p>NO</p>	<p>Answered</p>	<input type="radio"/> Not Applicable <input type="radio"/> Disagree <input type="radio"/> Partly Agree <input checked="" type="radio"/> Somewhat Agree <input type="radio"/> Mostly Agree
<p><b>2 - Editorial bodies transparency.</b> All journals of the publisher have a clearly defined and publicly displayed composition and constitution of its editorial bodies including: the names of the members of the editorial bodies and their affiliations; their editorial functions and roles; their PIDs and links to their institutional profiles to unambiguously specify the identity and affiliation of individual editorial bodies and board members. (REQUIRED)</p>	<p>YES</p>	<p>Answered</p>	
<p><b>3 - Communication procedures between journals and the publisher.</b> There are established procedures to facilitate communication between the editorial bodies of each individual journal and the publisher. These procedures aim to discuss political, commercial, or other incidents that might compromise the scientific credibility of the publication. They also facilitate the agreement on collaborative measures to ensure that such incidents do not influence the editor's decisions. Correspondence between referees, authors and publishers is subject to legal protection and kept confidential as needed. (REQUIRED)</p>	<p></p>	<p>Pending</p>	
<p><b>4 - Skills/training.</b> The publisher supports and/or provides continuous community-oriented training and education of journal editors and authors, which is essential in navigating the rapidly changing scholarly communication environment. The publisher promotes high-quality, inclusive, and impactful academic publishing practices by equipping stakeholders with the knowledge and skills necessary to adapt to technological, ethical, and policy changes and open science principles. (REQUIRED)</p>	<p></p>	<p>Pending</p>	

## TECHNICAL SERVICE EFFICIENCY QUESTIONS

2 of 19



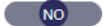

ITEM	RESPONSE	STATUS	
<p><b>1 - Use of platform.</b> The publisher guarantees that a digital publishing platform supports online submission, editorial, and publishing workflows of all its journals. (REQUIRED)</p>		<p>Answered</p>	
<p><b>2 - Documentation.</b> The publisher guarantees that all its journals are supplied with user instructions and documentation for editorial staff and end users and have a General Terms and Conditions for the use of the publishing infrastructure or platform. This information is displayed on their website. (DESIRED)</p>		<p>Answered</p>	
<p><b>3 - Security.</b> The publisher ensures that the infrastructure complies with the security standards established by law. When no standard exists in the region, the publisher will apply at least those measures necessary and sufficient to keep the system protected from malicious intrusions. (REQUIRED)</p>		<p>Pending</p>	
<p><b>4 - Basic functionalities.</b> The publisher guarantees that all its publishing platforms have basic functionalities like assisting in the publishing workflow, being compliant with standards, allowing multilingual support, preferably including an accessible, responsive and usable interface, being interoperable or being able to support rich metadata. (REQUIRED)</p>		<p>Pending</p>	
<p><b>5 - Advanced functionalities.</b> The publisher guarantees (where relevant) that all its publishing platforms offer advanced functionalities like post-publication evaluation and commenting, support for multimedia, and open peer review. (DESIRED)</p>		<p>Pending</p>	

## VISIBILITY, COMMUNICATION, MARKETING AND IMPACT QUESTIONS

1 of 8

ITEM	RESPONSE	STATUS	
<p><b>1 - Visibility.</b> The publisher makes sure that reasonable technical measures are taken towards improving the visibility of all its journals in search engines (general and academic), and aggregators. (REQUIRED)</p>	<input checked="" type="checkbox"/> YES	<p>Answered</p>	
<p><b>2 - Discoverability.</b> The publisher works to increase the discoverability of its published content by registering its platform for harvesting by relevant discovery services and aggregator databases, and by submitting its journals to abstracting and indexing databases and citation indexes. (REQUIRED)</p>	<input type="checkbox"/>	<p>Pending</p>	
<p><b>3 - Communication channels.</b> The publisher provides all its journals with unhindered and reliable channels for communication and dissemination of their content to academia and society at large. The use of social media and social networking, collaboration with the media and the use of traditional and modern dissemination methods, which help spread the content to a broader audience, are guided by the publisher's dissemination policies. (DESIRED)</p>	<input type="checkbox"/>	<p>Pending</p>	
<p><b>4 - Community management.</b> The community of users of the publisher's services is regularly informed of developments, policy changes, updates, new features, and functionalities, as well as about new publications. All the information provided by the publisher is accurate, reliable, regularly updated, and not misleading in any way. (DESIRED)</p>	<input type="checkbox"/>	<p>Pending</p>	

## EQUITY, DIVERSITY, INCLUSION AND BELONGING (EDIB), GENDER AND MULTILINGUALISM QUESTIONS 1 of 14

ITEM	RESPONSE	STATUS	
<p><b>1 - EDIB policy at the IP level.</b> The publisher has a policy that sets principles, commitments and actions for promoting EDIB in terms of linguistic, gender, cultural, academic, geographical, organisational, economic backgrounds and disabilities within its governing and management bodies, its editorial staff and boards, as well as reviewer pools and author's pool. It includes a Gender Equity Plan (GEP). This information is displayed on the publisher's website. (DESIRED)</p>			
<p><b>2 - EDIB monitoring.</b> The publisher monitors progress in its journals' EDIB policies and GEP. For that purpose, it collects and makes available data on gender balance, on country of origin, on organisational affiliation, and on the proportion of early career researchers (1-7 years from degree) among the members of the governing and management bodies, of the editorial staff and boards, of the reviewer pools and of the authors' pool. This is done without detracting from individuals' rights to not report some of this data if they don't wish to. (DESIRED)</p>			<ul style="list-style-type: none"> <li><input type="radio"/> Not Applicable</li> <li><input type="radio"/> Disagree</li> <li><input type="radio"/> Partly Agree</li> <li><input type="radio"/> Somewhat Agree</li> <li><input type="radio"/> Mostly Agree</li> </ul>
<p><b>3 - Equity in submissions and decisions.</b> The publisher guarantees that all their journals accept submission of manuscripts within their thematic scope and language from all potential authors and that decision-making concerning content acceptance is without regard to authors' language, race, gender, age, sexual orientation, religious belief, ethnic origin, geographic location, or political philosophy. (REQUIRED)</p>	